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UTILITY  
 PATENT APPLICATION  
 TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SNDK.015US6
First Named Inventor or Application Identifier	Lofren et al.
Title	Device and Method for Controlling Solid-State Memory System
Express Mail Label No.	EV 321705030 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form - *see page 2 of this form.*  
 (Submit an original, and a duplicate for fee processing)
2. Application:  
☒ Specification: (preferred arrangement set forth below)  
 Descriptive title of the Invention,  
 Cross References to Related Applications,  
 Reference to Microfiche Appendix,  
 Background of the Invention,  
 Brief Summary of the Invention,  
 Brief Description of the Drawings, and  
 Detailed Description (all totaling pages)  
 Appendix(ces) \_\_\_\_, & \_\_ (31 pages)
- ☒ Claim(s) 9 pages  
☒ Abstract of the Disclosure 1 page
3. ☒ Drawing(s) (35 USC 113)  
 [Total Sheets 9]
4. Substitute Specification (Marked Version) (36 pages)
5. Substitute Specification (Clean Version) (36 pages)
6. Oath or Declaration ☐ unsigned [Total Pages 3]  
 a. ☐ Newly executed (original or copy)  
 b. ☒ Copy from prior application (37 CFR §1.63(d))  
 (for continuation/divisional with Box 17 completed)  
 c. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
7. ☒ Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

8. ☐ Microfiche Computer Program Appendix consisting of \_\_\_\_ pages of microfiche containing \_\_\_\_ frames on each page in accompanying envelope.
9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
 a. ☐ Computer Readable Copy  
 b. ☐ Paper Copy (identical to computer copy)  
 c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & documents) \_\_\_\_ pages
11. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney  
 (combined when there is an Assignee with Patent Declaration above.)
12. ☐ English Translation Document (if applicable)
13. ☒ Information Disclosure Statement (IDS) (4 pages) ☒ 1 Copy of IDS Citations/References  
 & ☒ Copy of PTO Form 1449 (page)
14. ☒ Preliminary Amendment 6 pages
15. ☒ Return Receipt Postcard (MPEP 503)  
 (should be specifically itemized)
16. Small Entity Status  
☐ Small Entity Statement Enclosed \_\_\_\_ pages  
☐ Statement filed in prior application; and status still proper and desired  
☐ Is no longer claimed.
17. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)
18. ☒ Other:  
☐ Copy of Petition for Extension of Time filed in parent appln.;  
☒ U.S. Patent No. 6,525,986  
 U.S. Publication No. US 2002/0052633

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

☒ Continuation ☐ Divisional of prior application No. 09/939,290Filed on August 22, 2001, entitled: Device and Method for Controlling Solid-State Memory System.PRIOR APPLICATION INFORMATION: Examiner Ellis, Kevin L. Group Art Unit 2188

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☒ Correspondence address below

36257

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70377 U.S. PTO  
 10/785373



022304



## 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
15	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=	0	x	\$18	=	\$ 0.00
3	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=	0	x	\$86	=	\$ 0.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$290.00	=	
BASIC FEE (37 CFR 1.16(a))							=	\$ 770.00
Total of above Calculations							=	\$770.00
Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).							=	
TOTAL							=	\$770.00

## 20. FEES:

☒ A check is enclosed for \$770.00.

The Commissioner is hereby authorized to credit overpayments or charge the following fees or any additional fees required to Deposit Account No. 502664:

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
 b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
 c. ☒ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

## 22. NEW CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<b>36257</b>		<input checked="" type="checkbox"/> New correspondence address below	
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## 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Parsons Hsue & de Runtz LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 Tel. (415) 318-1160 Fax. (415) 693-0194	
Date:	2/23/04
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Signature	<i>Gerald P. Parsons</i>
Express Mail Label No.	EV 321705030 US